

# Odd Khovanov homology and 2-supercategories

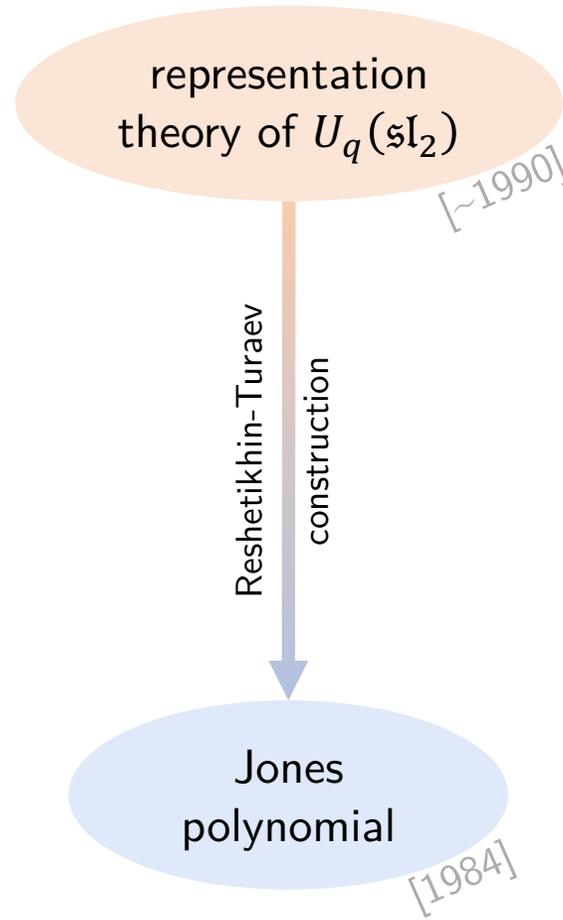
Léo Schelstraete

Seminar on Quantum groups, Hopf algebras and monoidal categories  
May 2<sup>nd</sup> 2022

# THE BIG PICTURE

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G  
E  
B  
R  
A

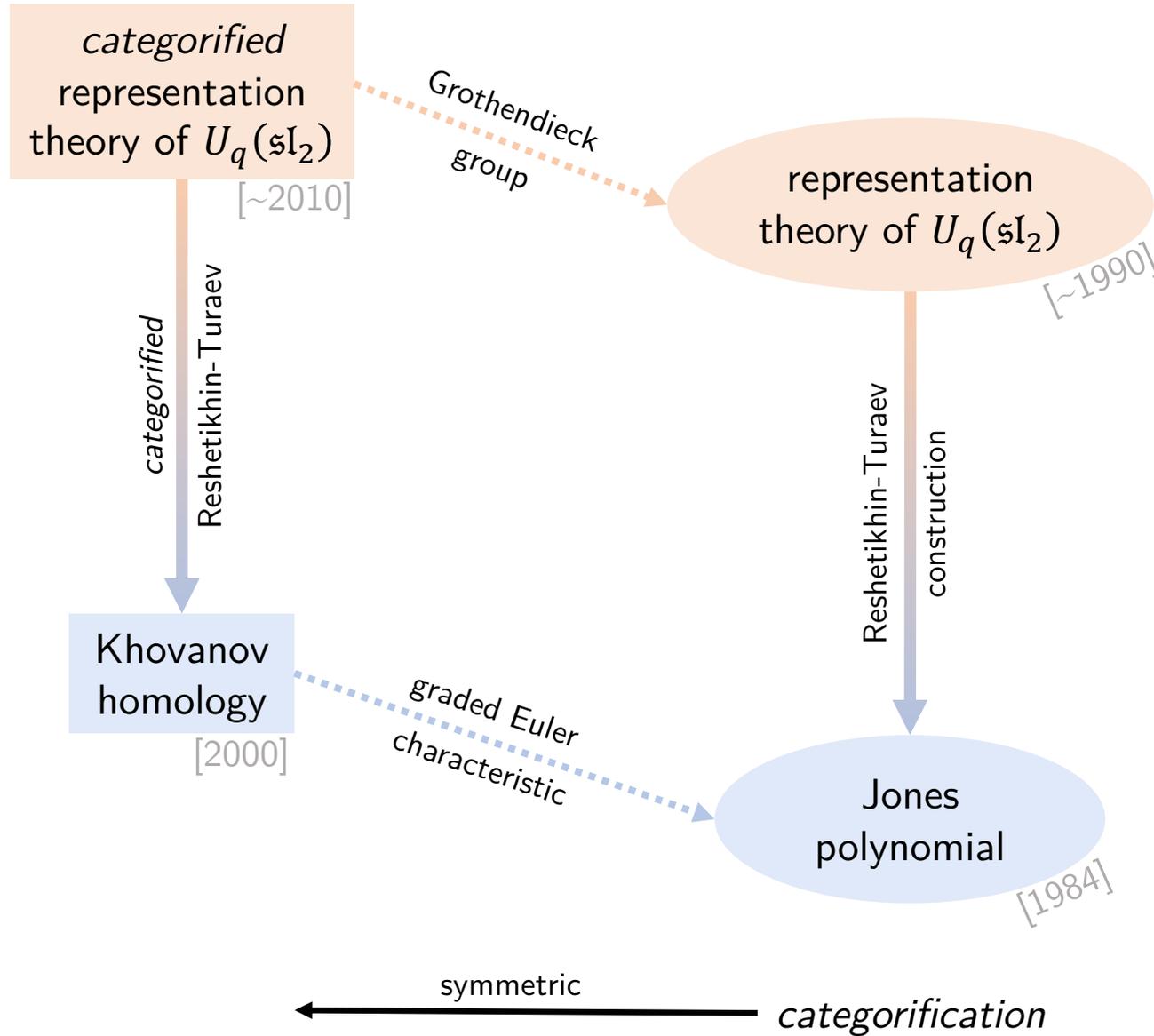
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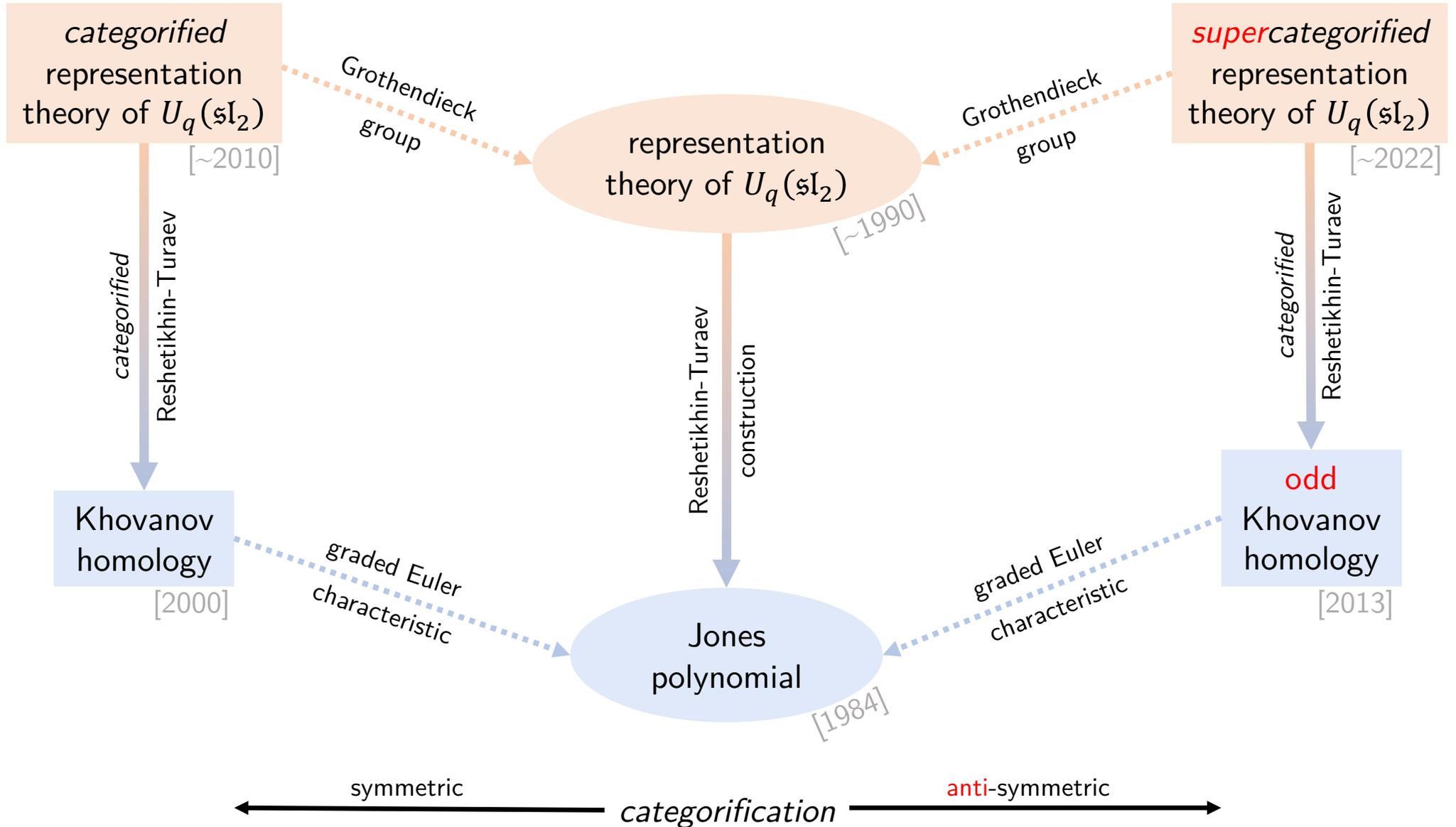
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# THE BIG PICTURE

ALGEBRA

TOPOLOGY



# 1 | CATEGORIFYING THE JONES POLYNOMIAL

*or the topological part of the story*

# 1. CATEGORIFYING JONES | EXAMPLE

Khovanov  
homology

$$\chi_q(Kh^{\bullet, \bullet}) = \sum_{j \in \mathbb{Z}} (-1)^j \text{qdim}(Kh^{\bullet, j})$$

Jones  
polynomial

$$Kh \left( \text{link diagram} \right) = \begin{array}{c|ccc} \text{homological} & & & \\ \text{grading } j & 0 & 1 & 2 \\ \hline Kh^{\bullet, j} & \mathbb{Q} \oplus \mathbb{Q}[2] & 0 & \mathbb{Q}[4] \oplus \mathbb{Q}[6] \end{array}$$

$(-1)^0(1 + q^2)$        $(-1)^2(q^4 + q^6)$

$$J \left( \text{link diagram} \right) = 1 + q^2 + q^4 + q^6$$

# 1. CATEGORIFYING JONES | EXAMPLE

Khovanov homology

$$\chi_q(Kh^{\bullet,\bullet}) = \sum_{j \in \mathbb{Z}} (-1)^j \text{qdim}(Kh^{\bullet,j})$$

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$Kh \left( \text{link} \right) = \begin{array}{c|ccc} \text{homological grading } j & 0 & 1 & 2 \\ \hline Kh^{\bullet,j} & \mathbb{Q} \oplus \mathbb{Q}[2] & 0 & \mathbb{Q}[4] \oplus \mathbb{Q}[6] \end{array}$

shift in q-grading

$J \left( \text{link} \right) = 1 + q^2 + q^4 + q^6$

$(-1)^0(1 + q^2)$

$(-1)^2(q^4 + q^6)$

Khovanov homology is graded!

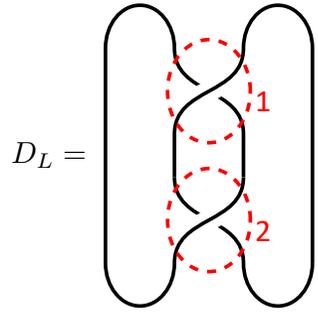
$$Kh^{\bullet,\bullet} = \bigoplus_{i,j \in \mathbb{Z}} Kh^{i,j}$$

quantum grading

homological grading

$$\text{qdim}(Kh^{\bullet,j}) = \sum_{i \in \mathbb{Z}} q^i \dim(Kh^{i,j})$$

# 1. CATEGORIFYING JONES | BACK TO KAUFFMAN



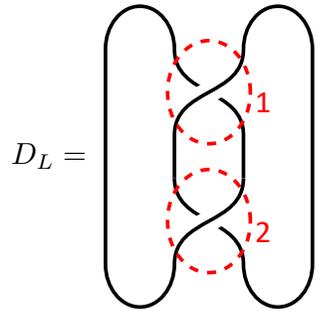
Kauffman bracket\*

$$\langle \text{crossing} \rangle = \langle \text{cup} \rangle - q \langle \text{cap} \rangle$$

$$\langle \bigcirc \amalg D \rangle = (q + q^{-1}) \langle D \rangle \text{ for any diagram } D$$

\*I disregard normalization issues!

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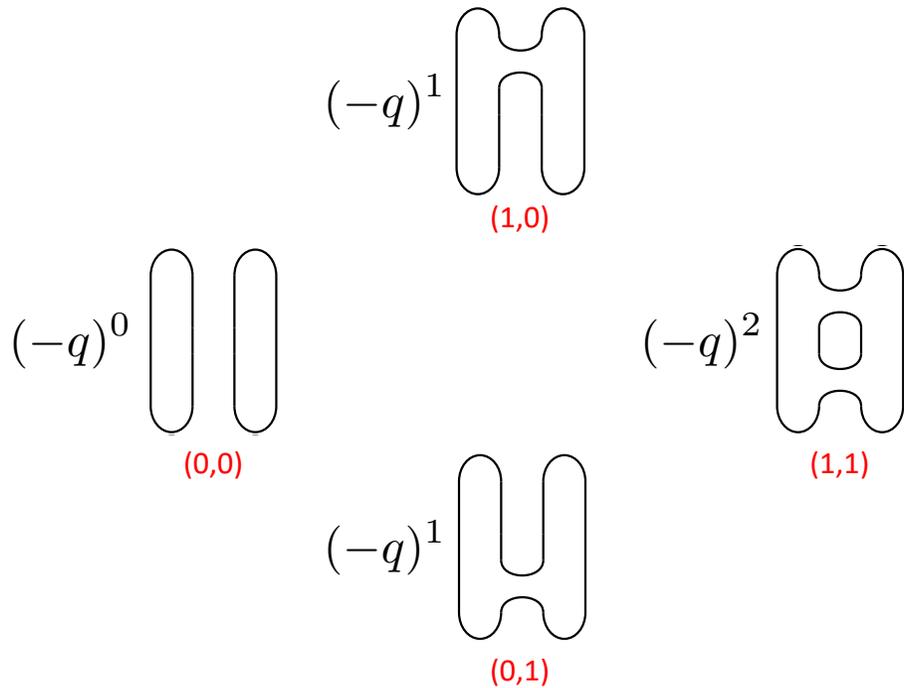


Kauffman bracket\*

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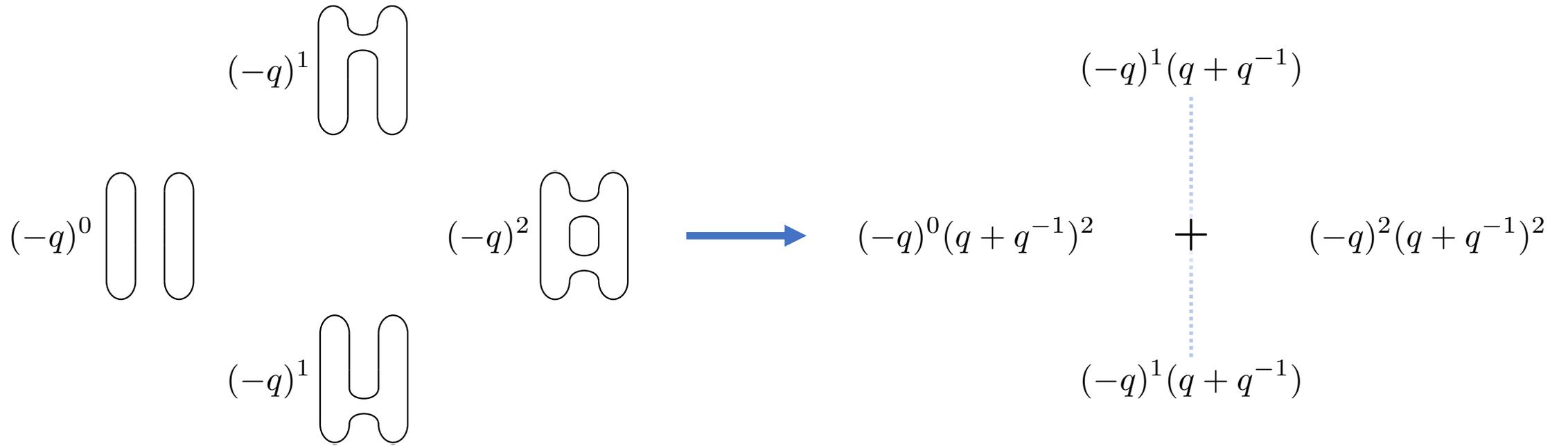
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$$(-q)^0(q + q^{-1})^2 + (-q)^1(q + q^{-1}) + (-q)^2(q + q^{-1})^2$$

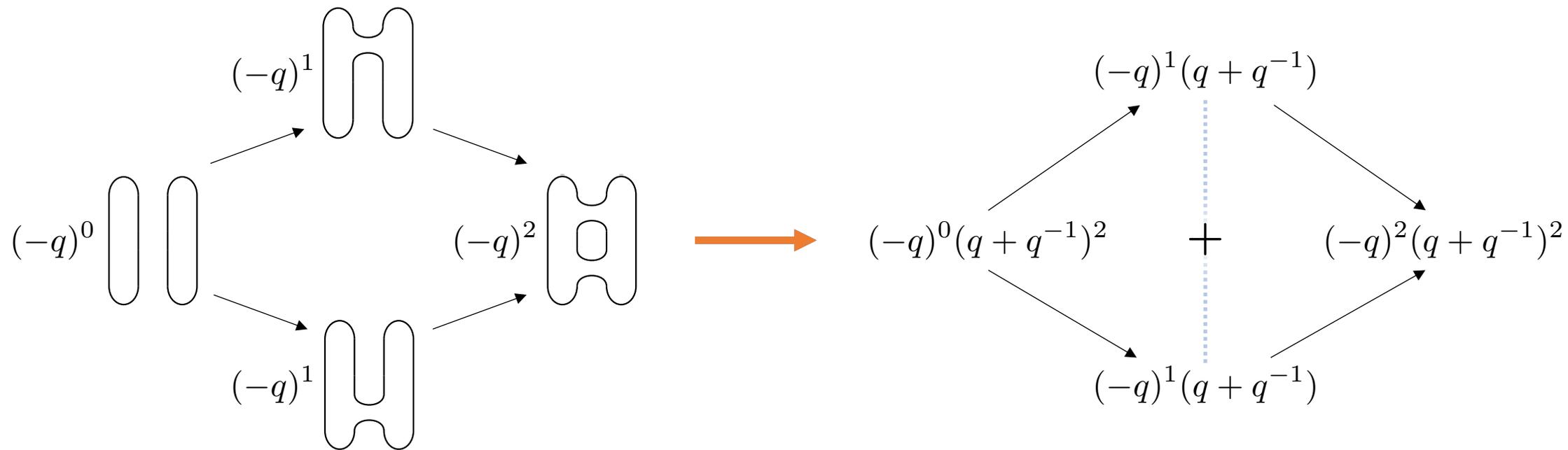
$$\langle D_L \rangle = (-q)^0(q + q^{-1})^2 + 2(-q)^1(q + q^{-1}) + (-q)^2(q + q^{-1})^2$$

# 1. CATEGORIFYING JONES | MAIN INGREDIENTS



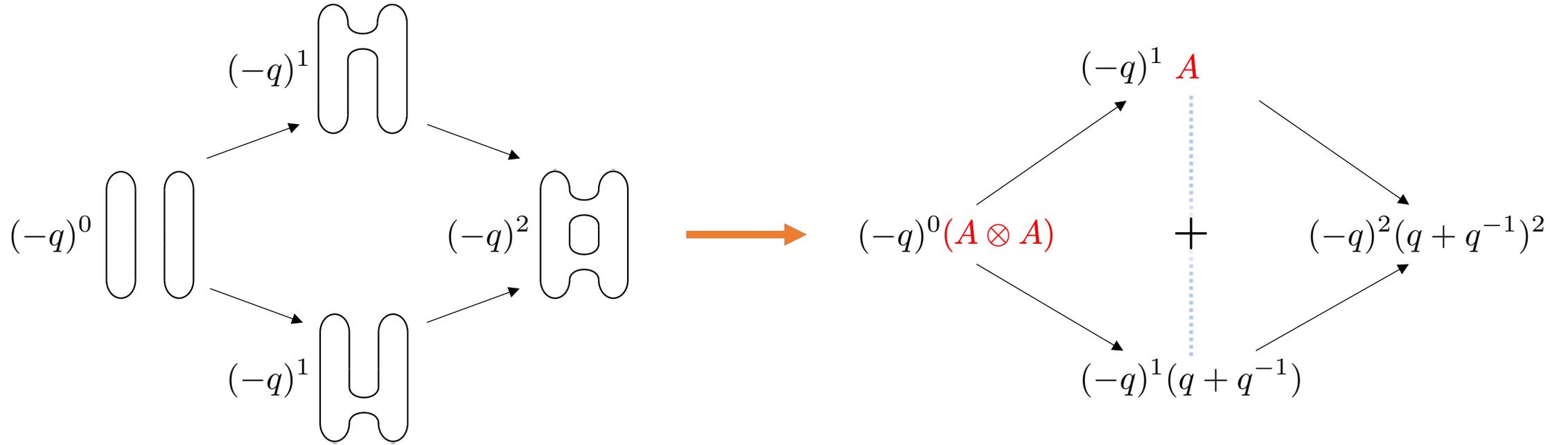
main ingredients

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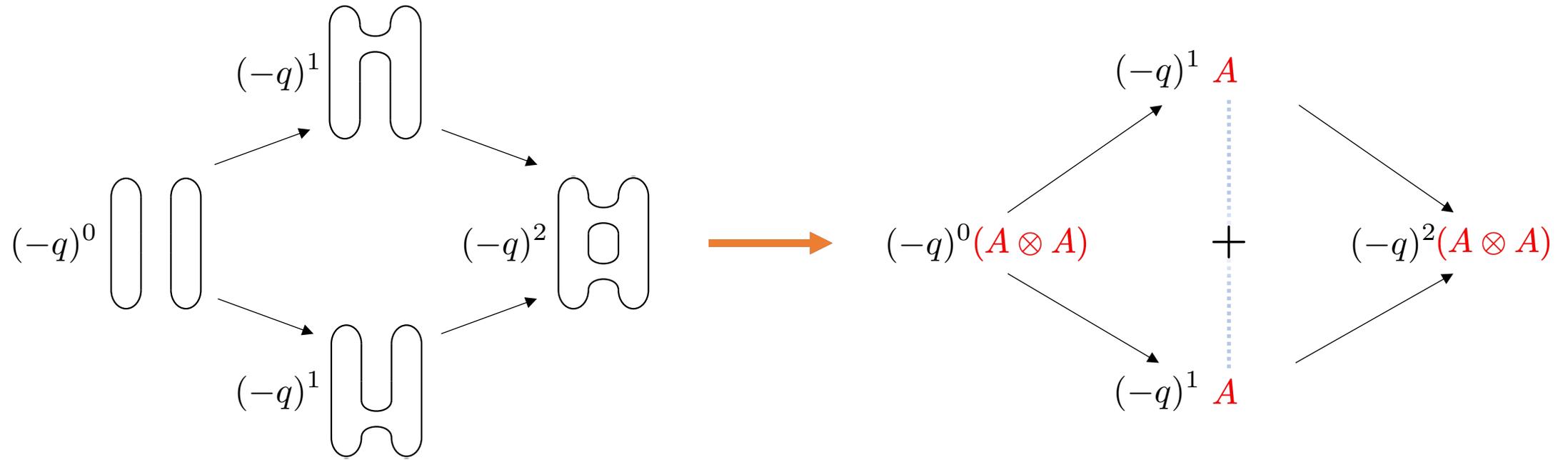
# 1. CATEGORIFYING JONES | MAIN INGREDIENTS



main ingredients

$\bigcirc \mapsto A$ , where  $A$  is such that  $\text{qdim}(A) = q + q^{-1}$

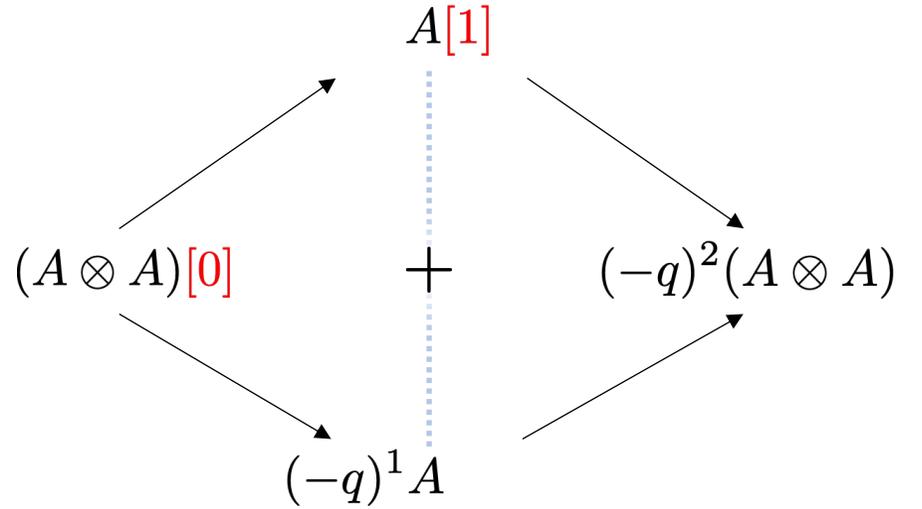
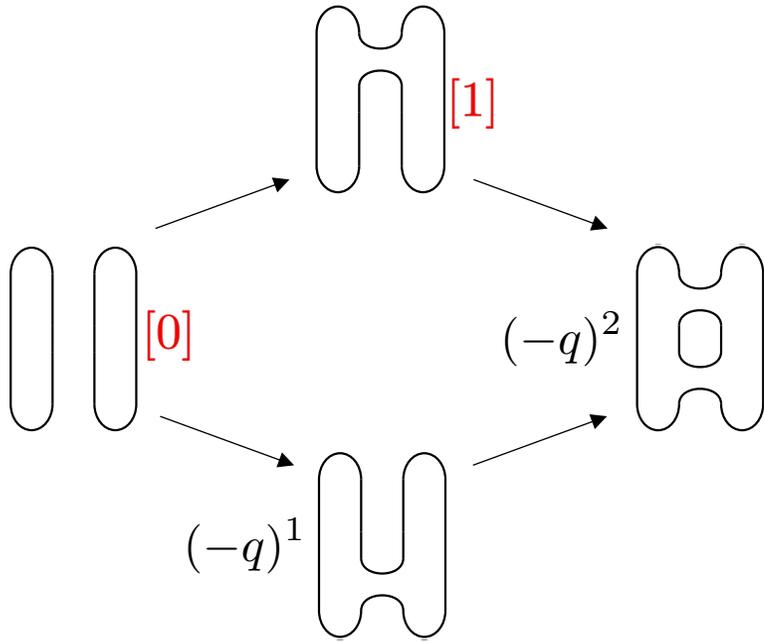
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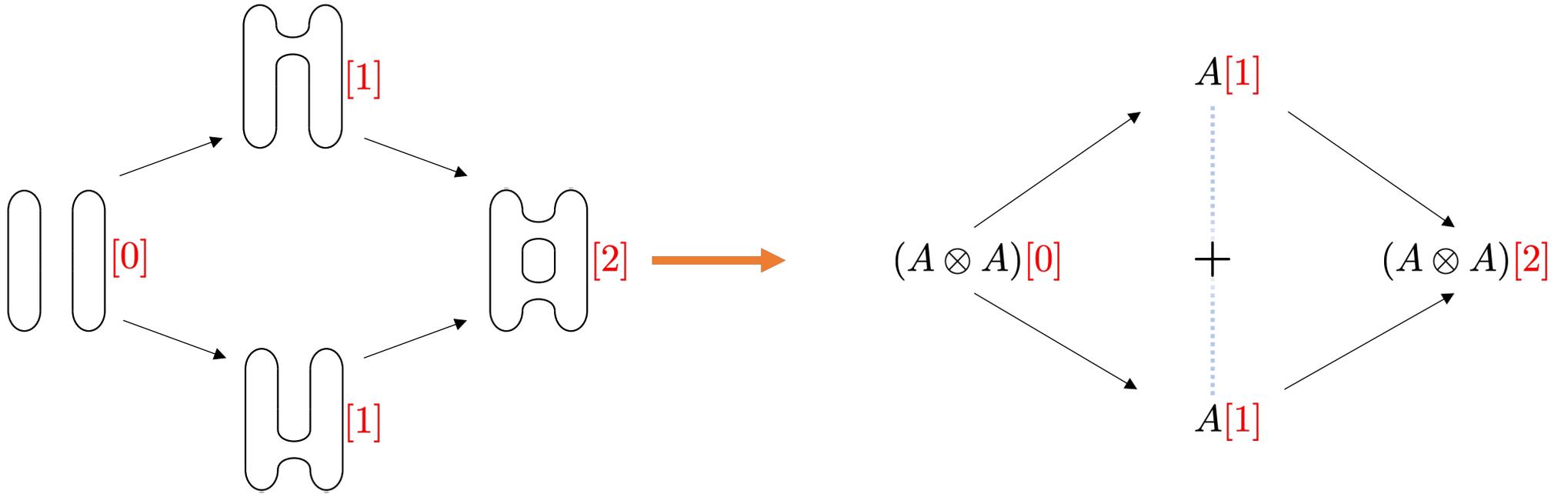
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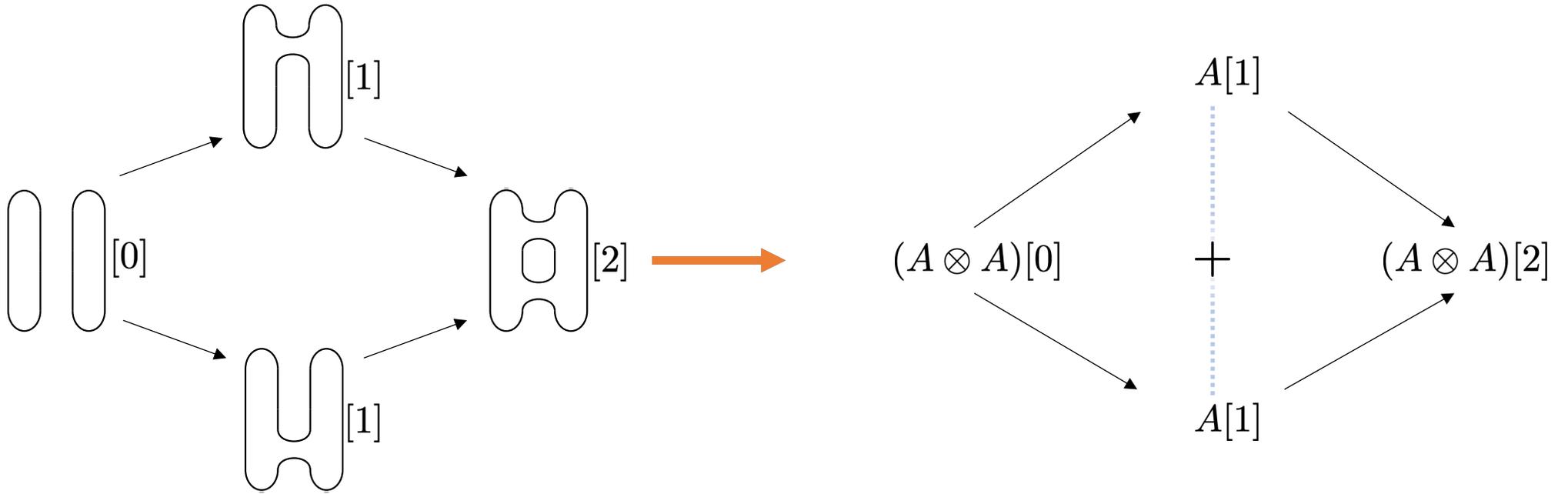
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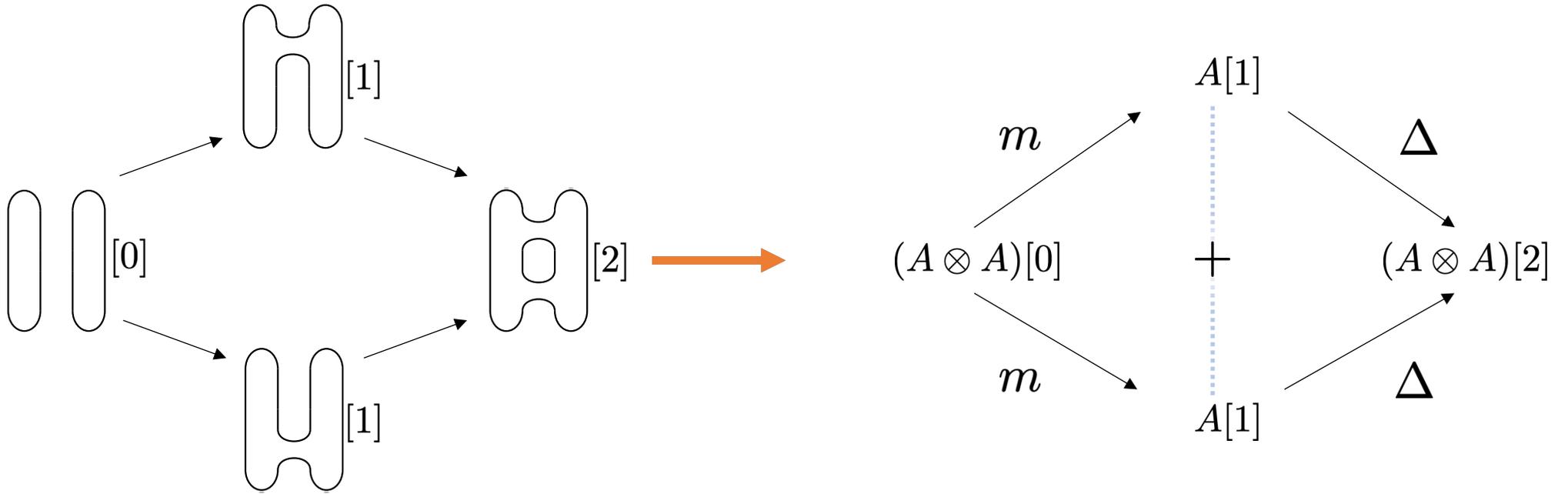
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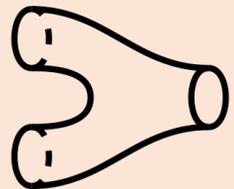
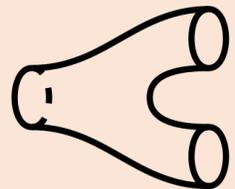
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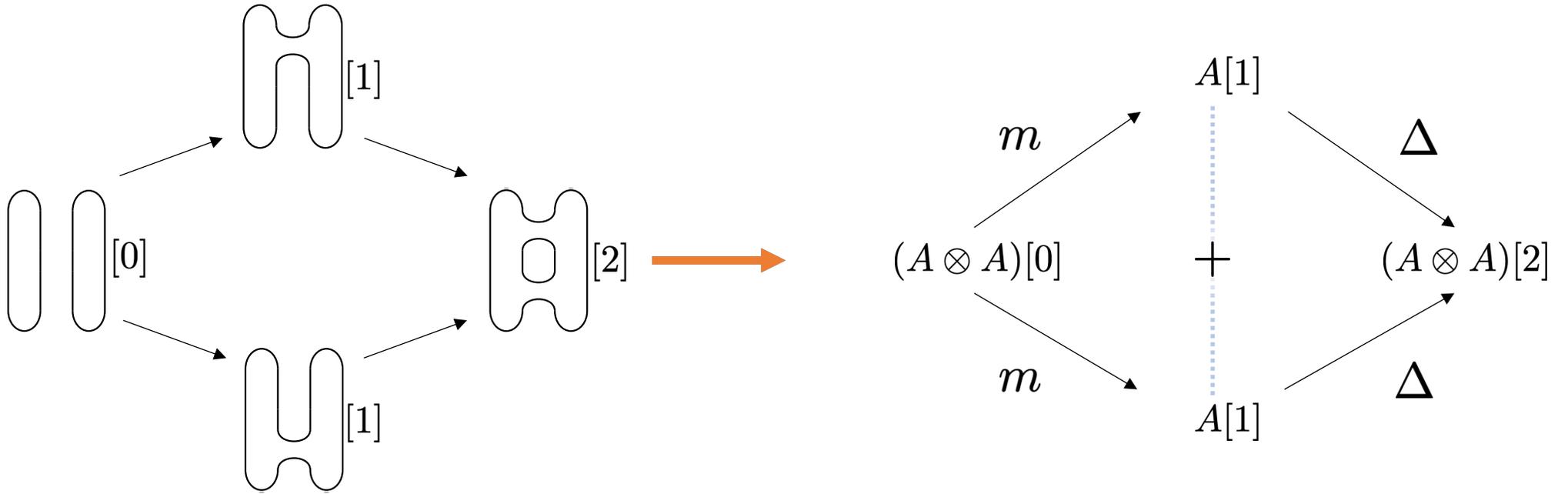


main ingredients

$\bigcirc \mapsto A$ , where  $A$  is such that  $\text{qdim}(A) = q + q^{-1}$

  $\mapsto m: A \otimes A \rightarrow A$  and   $\mapsto \Delta: A \rightarrow A \otimes A$

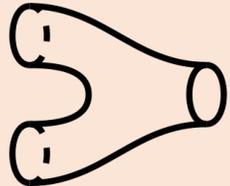
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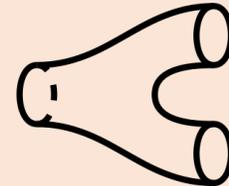
**1** TQFT

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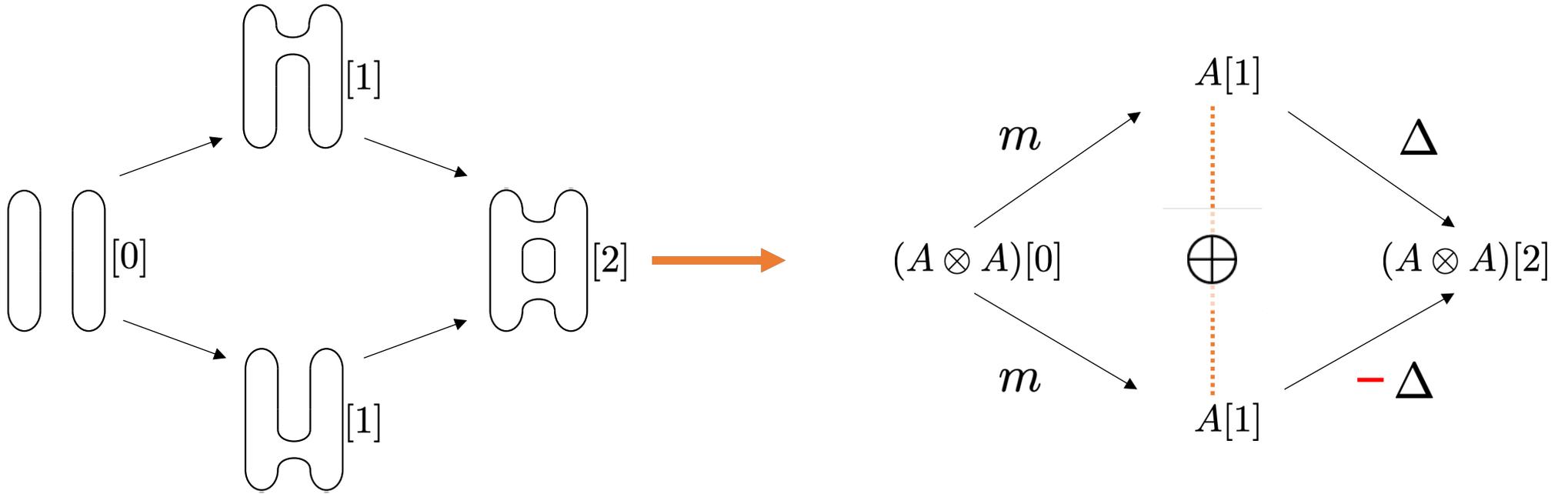
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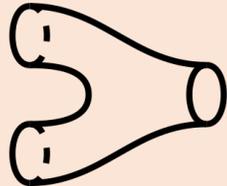
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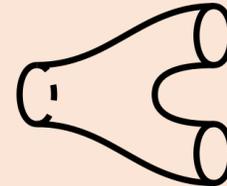
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**2** SIGNS

Fix signs so that all squares anti-commute  $\Rightarrow$  chain complex

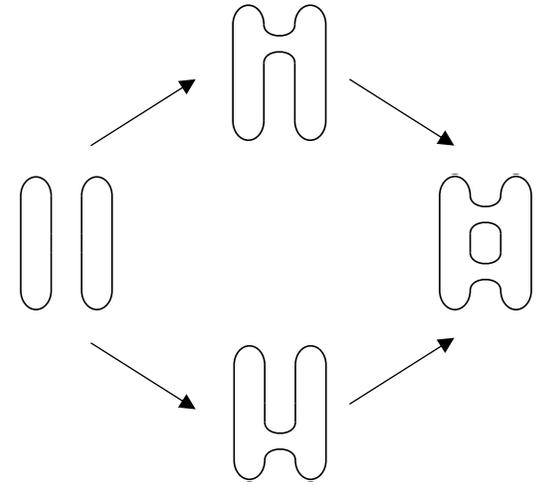
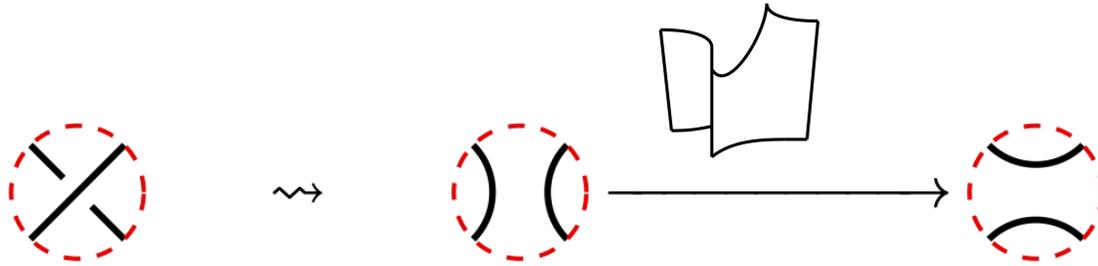
# 1. CATEGORIFYING JONES | RECAP

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0

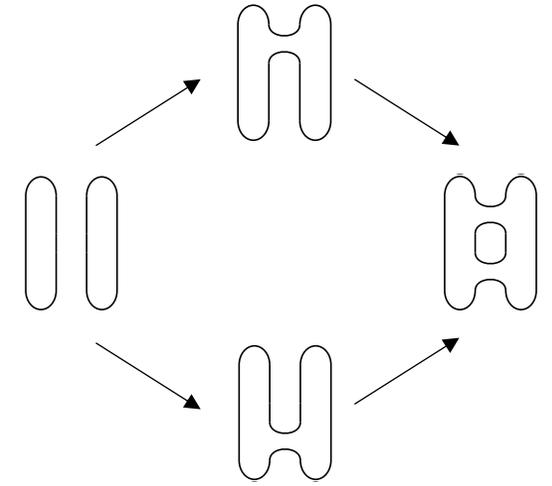
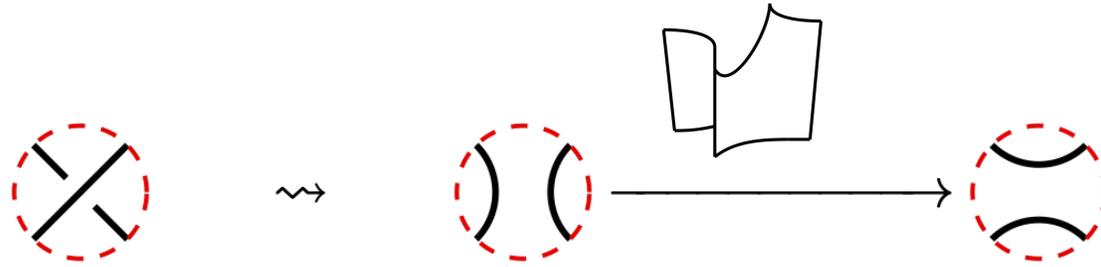
HYPERCUBE OF RESOLUTIONS

*diagram with  $n$  crossings  $\Rightarrow$  hypercube of dimension  $n$*



# 1. CATEGORIFYING JONES | RECAP

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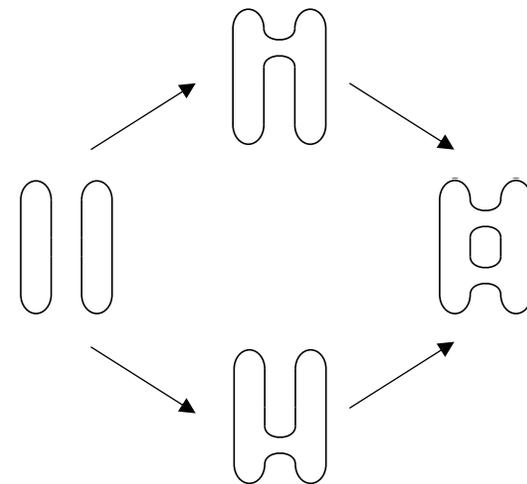
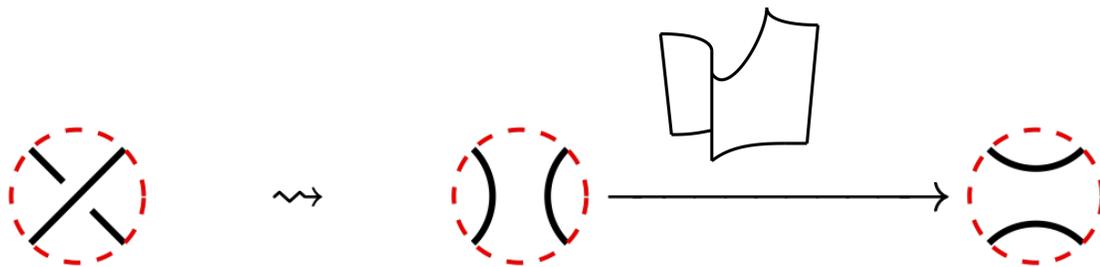


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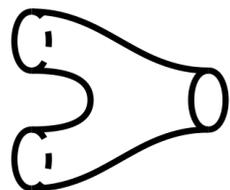
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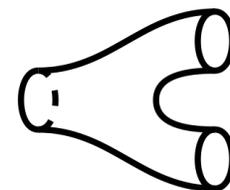
TQFT

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and



$\mapsto \Delta: A \rightarrow A \otimes A$

2

SIGNS

Fix signs so that all squares anti-commute  $\Rightarrow$  chain complex

# 1. CATEGORIFYING JONES | KHOVANOV HOMOLOGY

1 TQFT

$$A = \mathbb{k}[x]/x^2 \quad \text{and more generally: } A^{\otimes n} = \mathbb{k}[x_1, \dots, x_n]/x_1^2, \dots, x_n^2$$

$$m: \mathbb{k}[x_1, x_2]/x_1^2, x_2^2 \rightarrow \mathbb{k}[x]/x^2$$

$$f \mapsto f|_{x=x_1=x_2}$$

$$\Delta: \mathbb{k}[x]/x^2 \rightarrow \mathbb{k}[x_1, x_2]/x_1^2, x_2^2$$

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## 2 SIGNS

use **Koszul rule**: turn all commutative squares into anti-commutative squares

*NB: non-canonical choice, but every choice gives an isomorphic chain complex*

# 1. CATEGORIFYING JONES | **ODD** KHOVANOV HOMOLOGY

1 projective TQFT

$$A = \bigwedge(x) \quad \text{and more generally:} \quad A^{\otimes n} = \bigwedge(x_1, \dots, x_n)$$

$$f \wedge g = (-1)^{|f||g|} g \wedge f$$

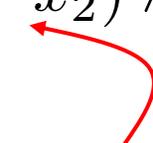
$$m: \bigwedge(x_1, x_2) \rightarrow \bigwedge(x)$$

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non-canonical sign!



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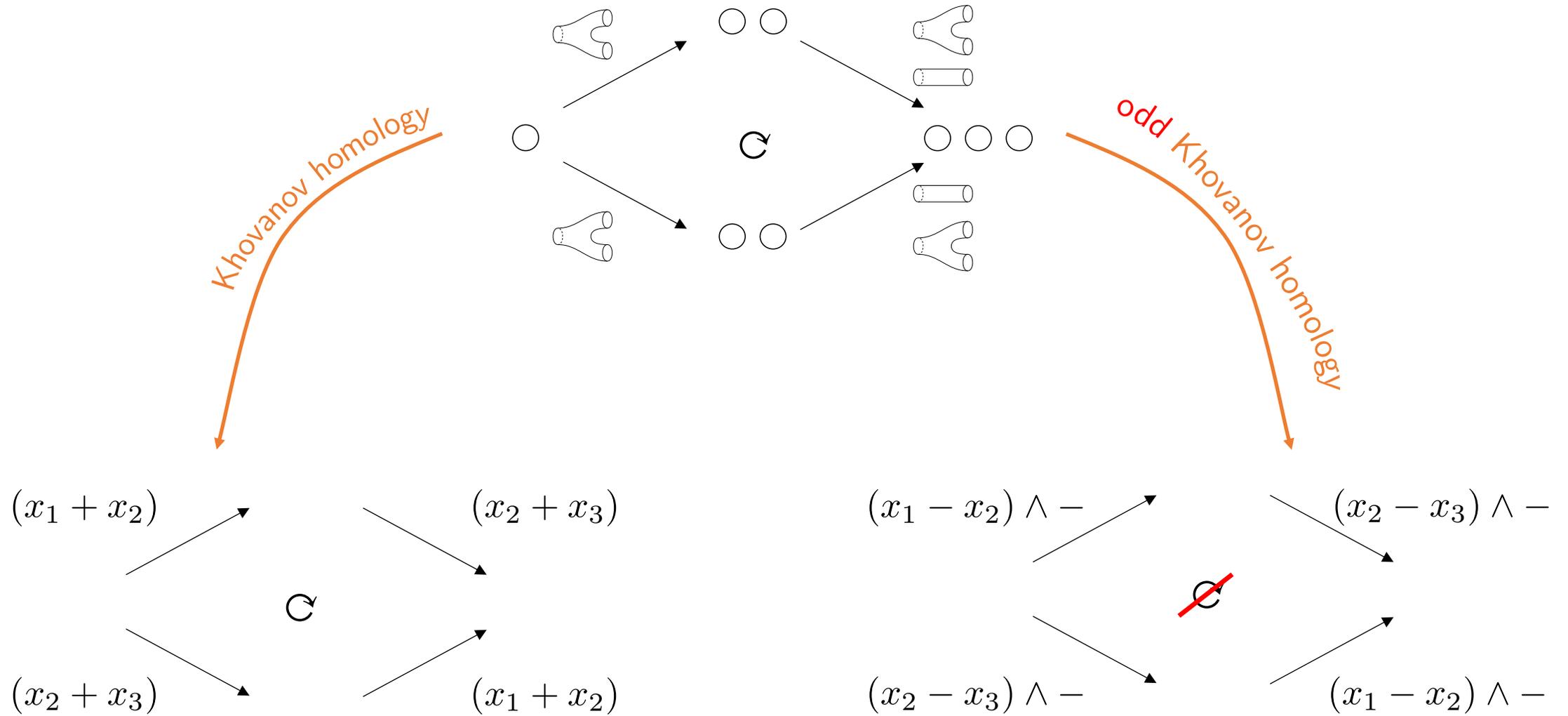
2 SIGNS

some squares are already  
anti-commutative!

use **super Koszul rule**: turn all ~~commutative~~ squares into anti-commutative squares

*NB: non-canonical choice, but every choice gives an isomorphic chain complex*

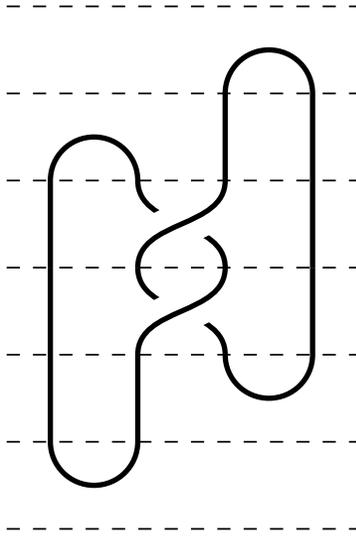
# 1. CATEGORIFYING JONES | TROUBLE WITH SIGNS



# 2 | CATEGORIFYING RESHETIKHIN-TURAEV

*or the representation theoretical part of the story*

# 2. CATEGORIFYING R-T | EXTENDING TO TANGLES

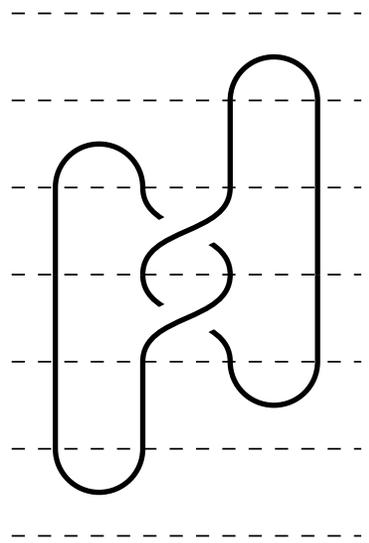


*closed tangle diagram*

# 2. CATEGORIFYING R-T | EXTENDING TO TANGLES

Given a quantum group  $U_q(\mathfrak{g})$  and a representation  $V$ :

Reshetikhin-Turaev



*closed tangle diagram*

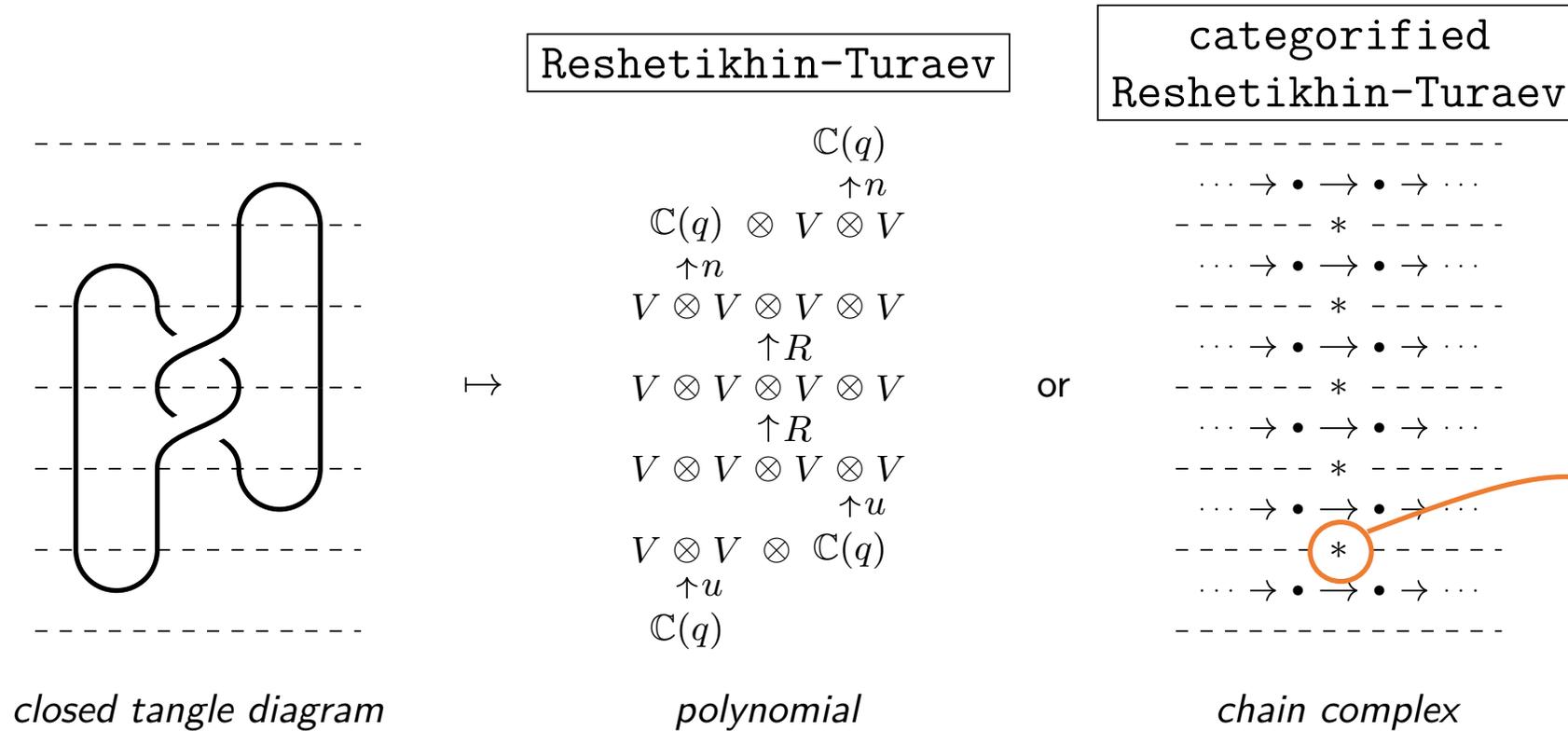
$\mapsto$

$$\begin{array}{c}
 \mathbb{C}(q) \\
 \uparrow n \\
 \mathbb{C}(q) \otimes V \otimes V \\
 \uparrow n \\
 V \otimes V \otimes V \otimes V \\
 \uparrow R \\
 V \otimes V \otimes V \otimes V \\
 \uparrow R \\
 V \otimes V \otimes V \otimes V \\
 \uparrow u \\
 V \otimes V \otimes \mathbb{C}(q) \\
 \uparrow u \\
 \mathbb{C}(q)
 \end{array}$$

*polynomial*

## 2. CATEGORIFYING R-T | EXTENDING TO TANGLES

Given a quantum group  $U_q(\mathfrak{g})$  and a representation  $V$ :

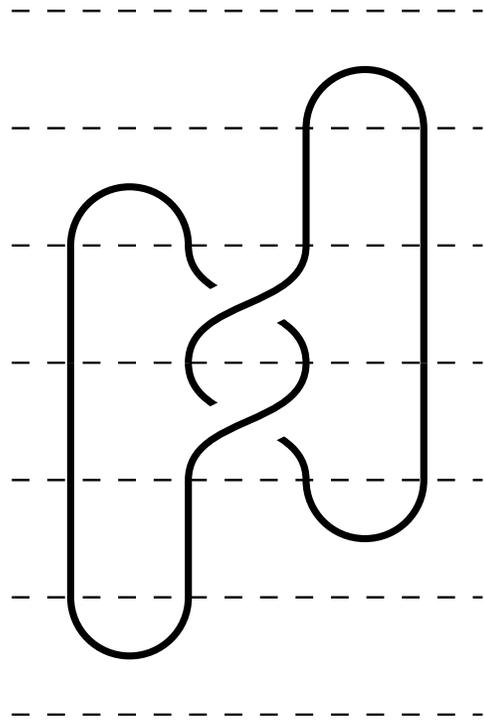


we need maps between intertwiners!

*“composition of complexes”*

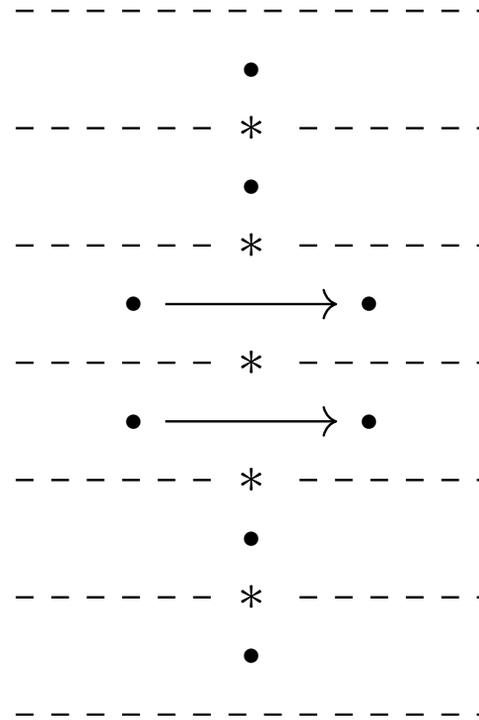
## 2. CATEGORIFYING R-T | JONES' CASE

For invariants categorifying the Jones polynomial:



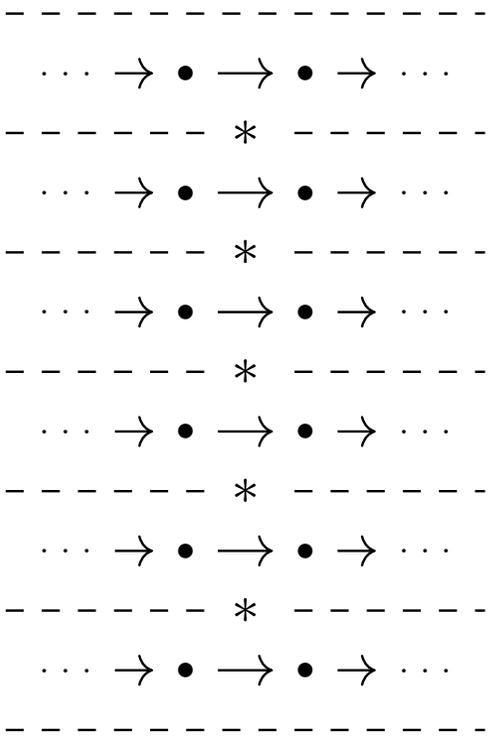
*closed tangle diagram*

$\mapsto$



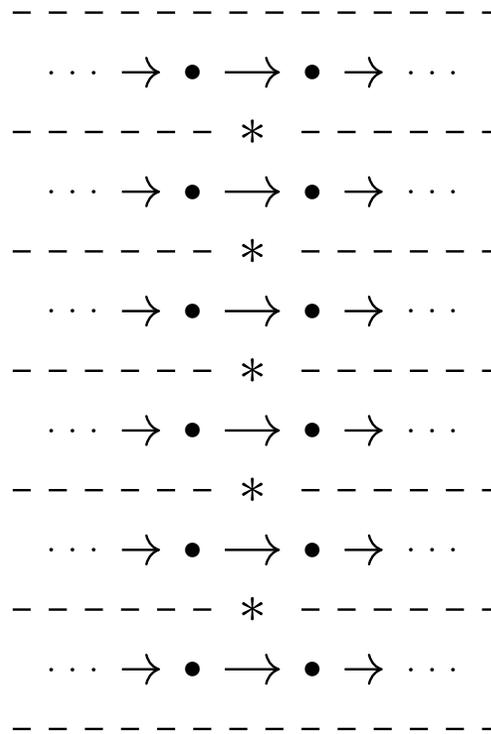
*chain complex = hypercube!*

# 2. CATEGORIFYING R-T | 2-CATEGORIES



two types of composition  
⇒ 2-category!

## 2. CATEGORIFYING R-T | 2-CATEGORIES



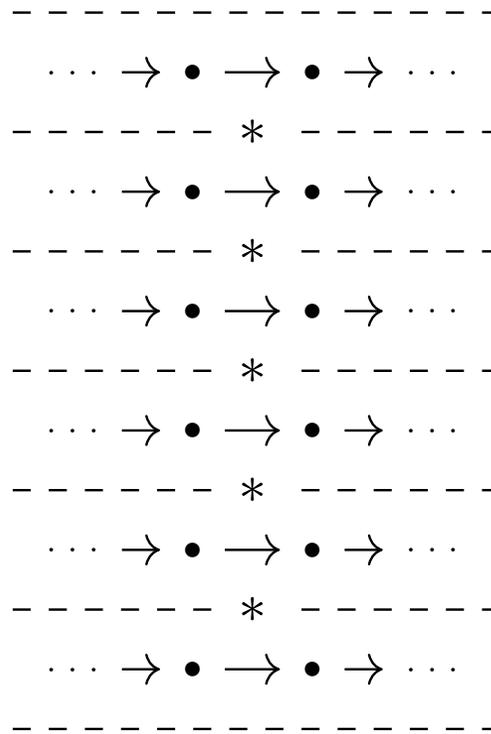
two types of composition  
 $\Rightarrow$  2-category!

### 2-categories

A *2-category* is a category with additional “morphisms between morphisms”, called *2-morphisms*. They admit two compositions:

- *vertical composition* denoted  $\circ$
- An *horizontal composition* denoted  $*$

## 2. CATEGORIFYING R-T | 2-CATEGORIES



two types of composition  
 $\Rightarrow$  2-category!

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- An *horizontal composition* denoted  $*$

#### Examples:

- small categories, functors and natural transformations
- (strict) monoidal categories = one-object 2-categories ( $\otimes = *$ )

## 2. CATEGORIFYING R-T | GENERAL STRATEGY

A general strategy to categorify the Reshetikhin-Turaev construction:

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- Find a 2-category that “categorifies” the category  $Rep(U_q(\mathfrak{g}), V)$  of representations of  $U_q(\mathfrak{g})$  generated by  $V$ .

*Here “categorifies” loosely means “add 2-morphisms”*

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- To each elementary tangle diagram, assign a chain complex in this 2-category. By composition of chain complexes, this assigns a chain complex to any tangle diagram.

*This should mimick the “uncategorified” Reshetikhin-Turaev construction, in the sense that the Euler characteristic gives back the original polynomial invariant.*

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- Show that the homotopy type of the complex is a tangle invariant.

Composition of complexes must preserve the homotopy type:

$$A^\bullet \simeq B^\bullet \text{ and } C^\bullet \simeq D^\bullet \quad \Rightarrow \quad A^\bullet * C^\bullet \simeq B^\bullet * D^\bullet$$

# 3 | 2-SUPERCATEGORIES

*or the categorical part of the story*

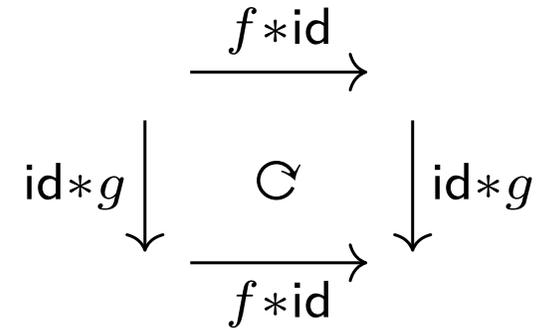
### 3. 2-SUPERCATEGORIES | MOTIVATION

2-categories:

$$(\text{id} * g) \circ (f * \text{id}) = (f * \text{id}) \circ (\text{id} * g)$$

interchange law

⇒ suited for Khovanov homology



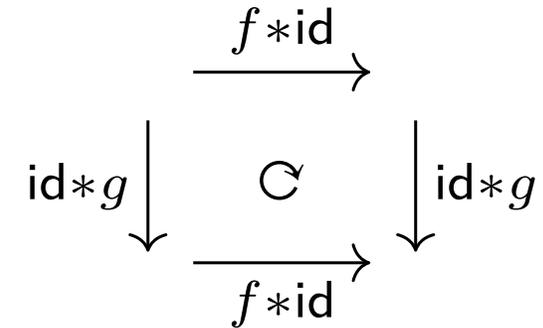
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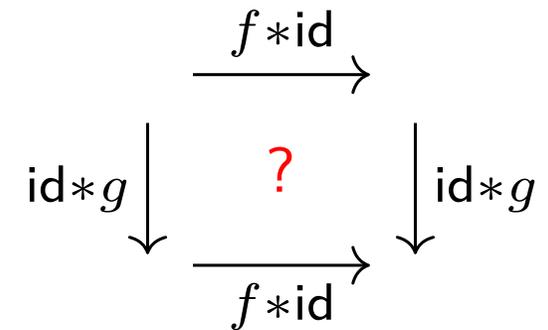


2-supercategories:

$$(\text{id} * g) \circ (f * \text{id}) = (-1)^{|f||g|} (f * \text{id}) \circ (\text{id} * g)$$

**super** interchange law

⇒ suited for **odd** Khovanov homology



### 3. 2-SUPERCATEGORIES | SUPERSPACE

A *superspace* is a  $\mathbb{Z}/2\mathbb{Z}$ -graded vector space:

$$V = V_0 \oplus V_1, \quad |v| := \text{grading of } v \text{ (0 or 1)}$$

- $\text{End}(V, V)$  inherits a superspace structure:
  - *even maps*: maps preserving parity
  - *odd maps*: maps exchanging parity

- super tensor product:

$$(V \otimes W)_0 = (V_0 \otimes W_0) \oplus (V_1 \otimes W_1) \quad \text{and} \quad (V \otimes W)_1 = (V_0 \otimes W_1) \oplus (V_1 \otimes W_0)$$

$$(f \otimes g) \circ (h \otimes k) = (-1)^{|g||h|} (f \circ h) \otimes (g \circ k)$$

- We denote  $\mathcal{SVec}$  the category of superspaces, and  $\underline{\mathcal{SVec}}$  the subcategory restricting to even linear maps.

### 3. 2-SUPERCATEGORIES | SUPER STRUCTURES

A *supercategory* is a  $\mathcal{SVec}$ -enriched category:

- Each Hom-set is a superspace
- Parities and composition are compatible:  $|f \circ g| = |f| + |g|$
- We denote  $\mathcal{SCat}$  the category of small supercategories (and functors preserving parities)

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A *2-supercategory* is a  $\mathcal{SCat}$ -enriched category:

- Each Hom-set is a supercategory
- Parities and compositions are compatible:  $|f \circ g| = |f| + |g|$  and  $|f * g| = |f| + |g|$
- Compositions are compatible through the super interchange law:

$$(f * g) \circ (h * k) = (-1)^{|g||h|} (f \circ h) * (g \circ k)$$

⚠ a 2-supercategory is (in general) *not* a 2-category!

### 3. 2-SUPERCATEGORIES | HOMOLOGY

#### Theorem (S. 2020)\*

Composition of complexes must preserve the homotopy type:

$$A^\bullet \simeq B^\bullet \text{ and } C^\bullet \simeq D^\bullet \quad \Rightarrow \quad A^\bullet * C^\bullet \simeq B^\bullet * D^\bullet$$

\*must restrict to complexes that factor through *homogeneous* complexes (each differential in the complex is either even or odd)

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#### Sketch of proof:

- If  $A_1^\bullet$  and  $A_2^\bullet$  are chain complexes, find a definition for  $A_1^\bullet * A_2^\bullet$
- If  $f_1$  and  $f_2$  are chain maps, find a definition for  $f_1 * f_2$
- If  $h_1$  and  $h_2$  are homotopies, find a definition for  $h_1 * h_2$



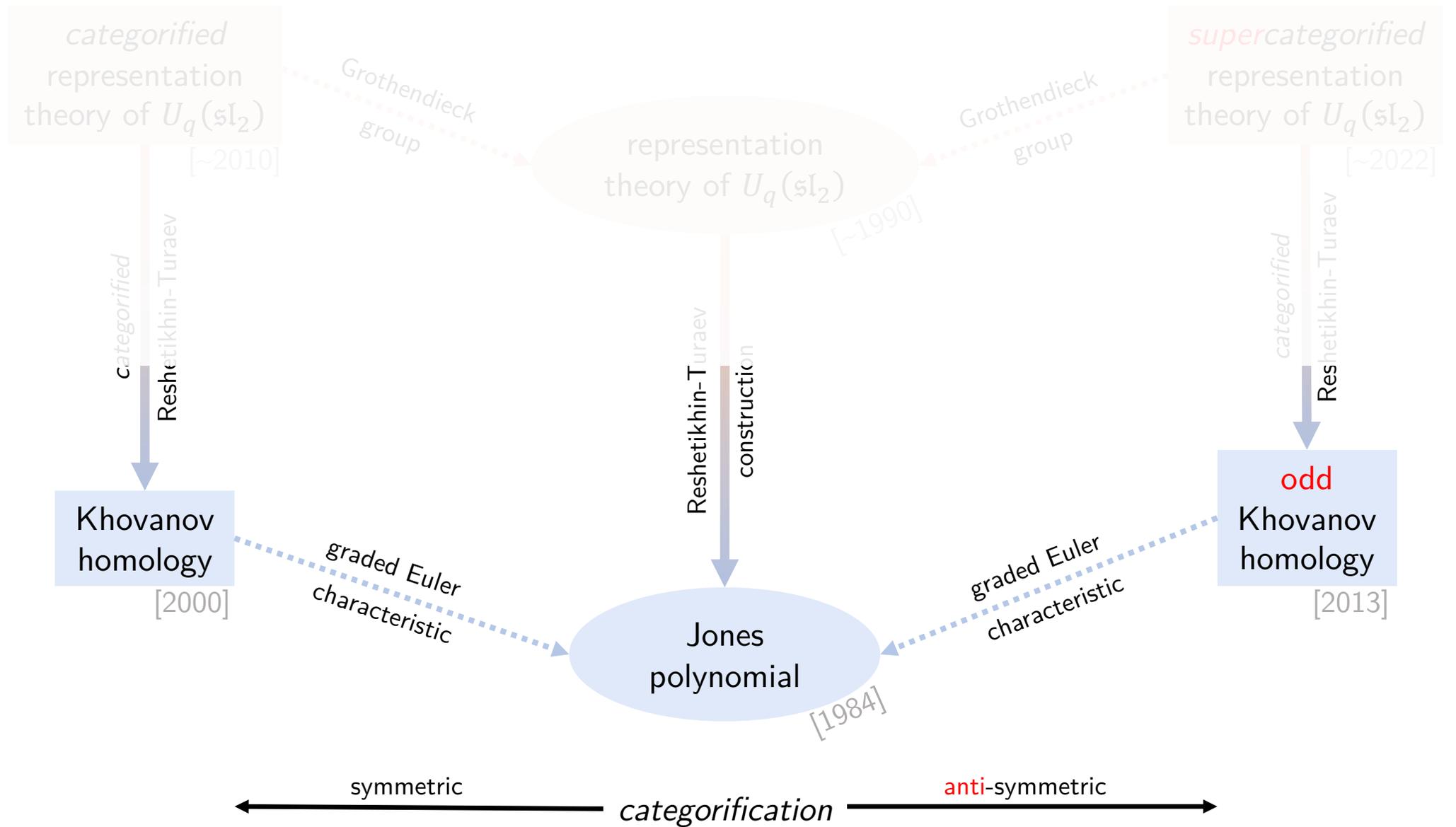
# CONCLUSION

*or how to combine everything*

# CONCLUSION

ALGEBRA

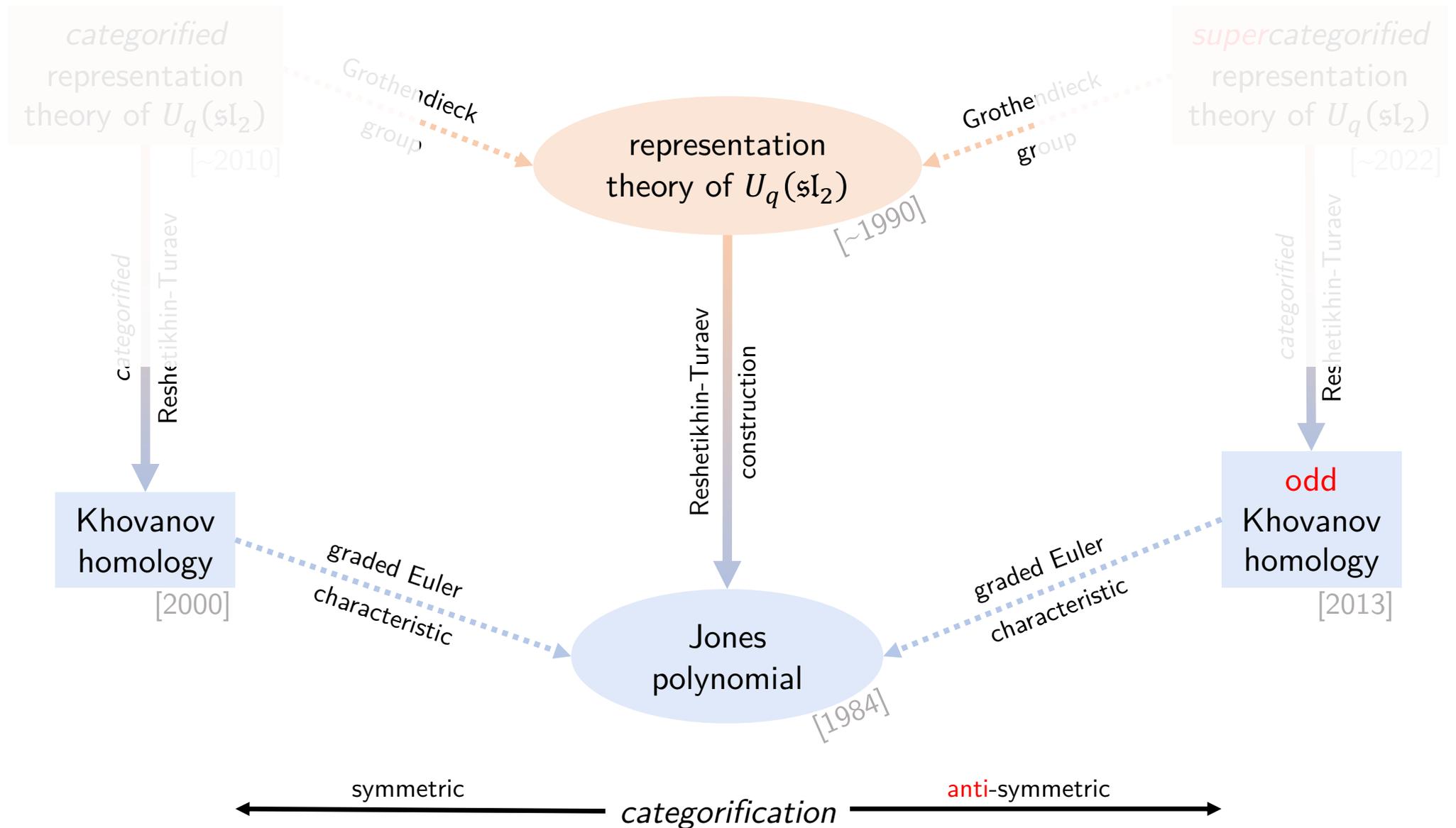
TOPOLOGY



# CONCLUSION

ALGEBRA

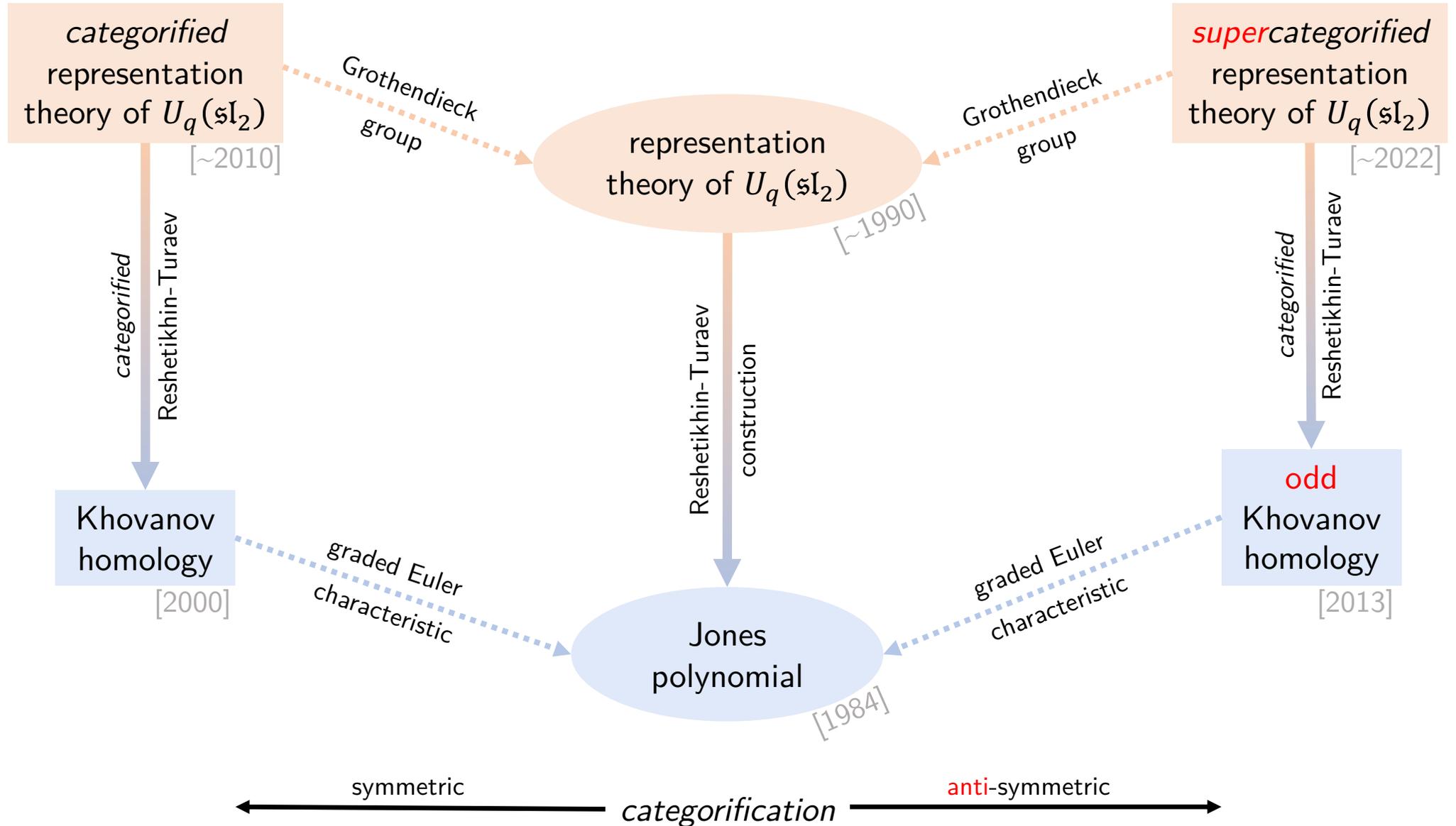
TOPOLOGY



# CONCLUSION

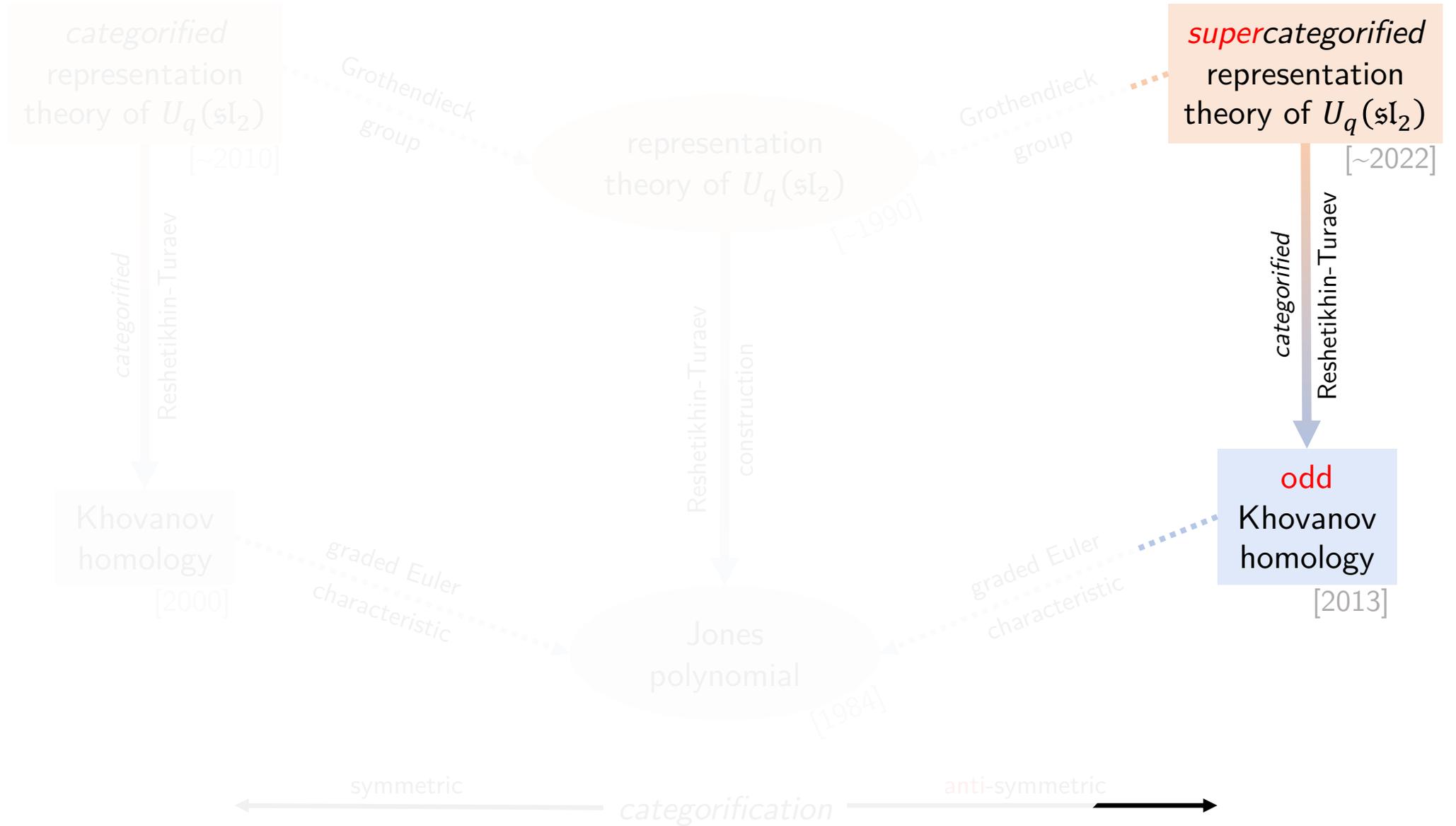
ALGEBRA

TOPOLOGY



# CONCLUSION

ALGEBRA  
TOPOLOGY



# CONCLUSION

## Theorem (Vaz 20; S. and Vaz 2022)

- There exists a categorification of some representation category of  $U_q(\mathfrak{sl}_2)$  into a 2-supercategory.
- Using this 2-supercategory, one can construction an homological invariant of tangles.
- This invariant coincide with odd Khovanov homology in the case of links.

*supercategorified*  
representation  
theory of  $U_q(\mathfrak{sl}_2)$

[~2022]

*categorified*  
Reshetikhin-Turaev

*odd*  
Khovanov  
homology  
[2013]

Khovanov  
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[2000]

*graded Euler  
characteristic*

Jones  
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[1984]

*graded Euler  
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ALGEBRA  
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# CONCLUSION

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Further questions:

- Can we use this to show functoriality of odd Khovanov homology?
- “How far” can we push the supercategorification program? Eg, can we find a supercategorified homological invariant for every choice of  $(U_q(\mathfrak{g}), V)$ ?

